

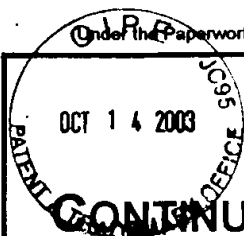
RCE/2300  
\$+

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0034

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/846,364
Filing Date	May 2, 2001
First Named Inventor	KAZUYA ISHIWATA
Group Art Unit	2879
Examiner Name	K. GUHARAY
Attorney Docket Number	03500.015334.

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge any deficiencies in the following fees, or credit any overpayments, to Deposit Account No. 06-1205
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 770.00 + 86.00 (Additional Claims Fee) = \$856.00 is enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	FRANK A. DeLUCIA, JR.	Registration No. (Attorney/Agent)	42,476
Signature	<i>Frank A. DeLucia, Jr.</i>	Date	October 8, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	FRANK A. DeLUCIA, JR.	Date	October 8, 2003
Signature	<i>Frank A. DeLucia, Jr.</i>		

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Amendment Under 37 C.F.R. § 1.116  
Group Art Unit 2879, Expedited Procedure

03500.015334.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KAZUYA ISHIWATA ET AL.

Application No.: 09/846,364

Filed: May 2, 2001

For: A SUBSTRATE STRUCTURE  
WHICH IS A PRECURSOR TO AN  
ELECTRON SOURCE, AND  
HAVING A SUBSTRATE AND AN  
INSULATING MATERIAL LAYER  
WITH METAL OXIDE PARTICLES  
(As Amended)

Examiner: Kabari Guharay

Group Art Unit: 2879

October 8, 2003

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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AMENDMENT

Sir:

In response to the Office Action of July 8, 2003, please amend the above  
identified application as follows.

The claims are listed beginning at page 2. The Remarks begin at page 9.

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first-class mail in an envelope  
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(Date of Deposit)

FRANK A. DeLUCIA, JR. (REG. #42,476)

(Name of Attorney for Applicant)

*Frank A. DeLucia, Jr.*  
Signature

October 8, 2003

Date of Signature